Preparatory Committee for the 2000 Review Conference of the Parties to the Treaty on the Non-Proliferation of Nuclear Weapons

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LETTER RECEIVED ON 10 APRIL 1997 FROM THE RUSSIAN FEDERATION AND THE UNITED STATES OF AMERICA ADDRESSED TO THE CHAIRMAN OF THE PREPARATORY COMMITTEE FOR THE 2000 REVIEW CONFERENCE OF THE PARTIES TO THE TREATY ON THE NON-PROLIFERATION OF NUCLEAR WEAPONS, TRANSMITTING THE JOINT STATEMENTS FROM THE MARCH 1997 SUMMIT MEETING BETWEEN THE PRESIDENTS OF THE UNITED STATES OF AMERICA AND THE RUSSIAN FEDERATION, HELD AT HELSINKI

We would like to share with you the attached joint statements from the March 1997 summit meeting between the Presidents of the United States of America and the Russian Federation held at Helsinki. These statements, which address parameters on future reduction in nuclear forces, the Anti-Ballistic Missile Treaty and European security, are relevant to the work of the Preparatory Committee now under way. We request that you circulate the text of the present letter and its annexes as an official document of the Committee.

(Signed) Lawrence SCHEINMAN
For the United States of America

(Signed) Serguei I. KISLYAK
For the Russian Federation

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ANNEX I

March 21, 1997

JOINT STATEMENT
JOINT U.S.-RUSSIAN STATEMENT ON EUROPEAN SECURITY

Presidents Clinton and Yeltsin discussed the present security situation in the Euro-Atlantic region. They reaffirmed their commitment to the shared goal of building a stable, secure, integrated and undivided democratic Europe. The roles of the United States and Russia as powers with worldwide responsibilities place upon them a special requirement to cooperate closely to this end. They confirmed that this cooperation will be guided by the spirit of openness and pragmatism which has increasingly come to characterize the U.S.-Russian relationship in recent years.

Recalling their May 1995 Joint Statement on European Security, the Presidents noted that lasting peace in Europe should be based on the integration of all of the continent into a series of mutually supporting institutions and relationships that ensure that there will be no return to division or confrontation. No institution by itself can ensure security. The Presidents agreed that the evolution of security structures should be managed in a way that threatens no state and that advances the goal of building a more stable and integrated Europe. This evolution should be based on a broad commitment to the principles of the Organization for Security and Cooperation in Europe as enshrined in the Helsinki Final Act, the Budapest Code of Conduct and other OSCE documents, including respect for human rights, democracy and political pluralism, the sovereignty and territorial integrity of all states, and their inherent right to choose the means to ensure their own security.

The Presidents are convinced that strengthening the OSCE, whose potential has yet to be fully realized, meets the interests of the United States and Russia. The Presidents expressed their satisfaction with the outcome of the Lisbon Summit of the OSCE and agreed on the importance of implementing its decisions, both to define further the goals of security cooperation and to continue to devise innovative methods for carrying out the growing number of tasks the OSCE has assumed.

They underscored their commitment to enhance the operational capability of the OSCE as the only framework for European security cooperation providing for full and equal participation of all states. The rule of consensus should remain an inviolable basis for OSCE decision-making. The Presidents reaffirmed their commitment to work together in the ongoing OSCE effort to develop a model for security in Europe which takes account of the radically changed situation on the eve of the 21st century and the decisions of the Lisbon Summit concerning a charter on European security. The OSCE's essential role in Bosnia and Herzegovina and its ability to develop new forms of peacekeeping and conflict prevention should also be actively pursued.

In their talks in Helsinki, the two Presidents paid special attention to the question of relations between the North Atlantic Treaty Organization and the Russian Federation. They continued to disagree on the issue of NATO enlargement. In order to minimize the potential consequences of this disagreement, the Presidents agreed that they should work, both together and with others, on a document that will establish cooperation between NATO and Russia as an important element of a new comprehensive European security system. Signed by the leaders of the NATO countries and Russia, this document would be an enduring commitment at the highest political level. They further agreed that the NATO-Russia relationship, as defined in this document, should provide for consultation, coordination and, to the maximum extent possible where appropriate, joint decision-making and action on security issues of common concern.

The Presidents noted that the NATO-Russia document would reflect and contribute both to the profound transformation of NATO, including its political and peacekeeping dimension, and to the new realities of Russia as it builds a democratic society. It will also reflect the shared commitment of both NATO and Russia to develop their relations in a manner that enhances mutual security.

The Presidents recalled the historic significance of the Treaty on Conventional Armed Forces in Europe in establishing the trust necessary to build a common security space on the continent in the interest of all states in Europe, whether or not they belong to a military or political alliance, and to continue to preclude any destabilizing build-up of forces in different regions of Europe.

The Presidents stressed the importance of adapting the CFE Treaty. They agreed on the need to accelerate negotiations among CFE parties with a view to concluding by late spring or early summer of 1997 a framework agreement setting forth the basic elements of an adapted CFE Treaty, in accordance with the objectives and principles of the Document on Scope and Parameters agreed at Lisbon in December 1996.

President Yeltsin underscored Russian concerns that NATO enlargement will lead to a potentially threatening build-up of permanently stationed combat forces of NATO near to Russia. President Clinton stressed that the Alliance contemplates nothing of the kind.

President Yeltsin welcomed President Clinton's statements and affirmed that Russia would exercise similar restraint in its conventional force deployments in Europe.

President Clinton also noted NATO's policy on nuclear weapons deployments, as articulated by the North Atlantic Council on December 10, 1996, that NATO members have "no intention, no plan and no reason" to deploy nuclear weapons on the territory of states that are not now members of the Alliance, nor do they foresee any future need to do so. President Clinton noted NATO's willingness to include specific reference to this policy in the NATO-Russia document. President Yeltsin spoke in favor of including such a reference in the document.

The Presidents agreed that the United States, Russia and all their partners in Europe face many common security challenges that can best be addressed through cooperation among all the states of the Euro-Atlantic area. They pledged to intensify their efforts to build on the common ground identified in their meetings in Helsinki to improve the effectiveness of European security institutions, including by concluding the agreements and arrangements outlined in this statement.

FOR THE UNITED STATES OF AMERICA:
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FOR THE RUSSIAN FEDERATION:
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Helsinki

March 21, 1997

ANNEX II

March 21, 1997

JOINT STATEMENT CONCERNING THE ANTI-BALLISTIC MISSILE TREATY

President Clinton and President Yeltsin, expressing their commitment to strengthening strategic stability and international security, emphasizing the importance of further reductions in strategic offensive arms, and recognizing the fundamental significance of the Anti-Ballistic Missile (ABM) Treaty for these objectives as well as the necessity for effective theater missile defense (TMD) systems, consider it their common task to preserve the ABM Treaty, prevent circumvention of it, and enhance its viability.

The Presidents reaffirm the principles of their May 10, 1995 Joint Statement, which will serve as a basis for reaching agreement on demarcation between ABM systems and theater missile defense systems, including:

-- The United States and Russia are each committed to the ABM Treaty, a cornerstone of strategic stability.

-- Both sides must have the option to establish and to deploy effective theater missile defense systems. Such activity must not lead to violation or circumvention of the ABM Treaty.

-- Theater missile defense systems may be deployed by each side which (1) will not pose a realistic threat to the strategic nuclear force of the other side and (2) will not be tested to give such systems that capability.

-- Theater missile defense systems will not be deployed by the sides for use against each other.

-- The scale of deployment -- in number and geographic scope -- of theater missile defense systems by either side will be consistent with theater ballistic missile programs confronting that side.

In this connection, the United States and Russia have recently devoted special attention to developing measures aimed at assuring confidence of the Parties that their ballistic missile defense activities will not lead to circumvention of the ABM Treaty, to which the Parties have repeatedly reaffirmed their adherence.

The efforts undertaken by the Parties in this regard are reflected in the Joint Statement of the Presidents of the United States and Russia issued on September 28, 1994, as well as in that of May 10, 1995. Important decisions were made at the United States-Russia summit meeting on April 23, 1996.

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In order to fulfill one of the primary obligations under the ABM Treaty -- the obligation not to give non-ABM systems capabilities to counter strategic ballistic missiles and not to test them in an ABM mode -- the Presidents have instructed their respective delegations to complete the preparation of an agreement to ensure fulfillment of this requirement.

In Standing Consultative Commission (SCC) negotiations on the problem of demarcation between TMD systems and ABM systems, the United States and Russia, together with Belarus, Kazakstan and Ukraine, successfully finished negotiations on demarcation with respect to lower-velocity TMD systems. The Presidents note that agreements were also reached in 1996 with respect to confidence-building measures and ABM Treaty succession. The Presidents have instructed their experts to complete an agreement as soon as possible for prompt signature on higher-velocity TMD systems.

Neither side has plans before April 1999 to flight test, against a ballistic target missile, TMD interceptor missiles subject to the agreement on demarcation with respect to higher velocity TMD systems. Neither side has plans for TMD systems with interceptor missiles faster than 5.5 km/sec for land-based and air-based systems or 4.5 km/sec for sea-based systems. Neither side has plans to test TMD systems against target missiles with MIRVs or against reentry vehicles deployed or planned to be deployed on strategic ballistic missiles.

The elements for the agreement on higher-velocity TMD systems are:

The velocity of the ballistic target missiles will not exceed 5 km/sec.

The flight range of the ballistic target missiles will not exceed 3500 km.

The sides will not develop, test, or deploy space-based TMD interceptor missiles or components based on other physical principles that are capable of substituting for such interceptor missiles.

The sides will exchange detailed information annually on TMD plans and programs.

The Presidents noted that TMD technology is in its early stages and continues to evolve. They agreed that developing effective TMD while maintaining a viable ABM Treaty will require continued consultations. To this end, they reaffirm that their representatives to the Standing Consultative Commission will discuss, as foreseen under the ABM Treaty, any questions or concerns either side may have regarding TMD activities, including matters related to the agreement to be completed on higher-velocity systems, which will be based on this joint statement by the two Presidents, with a view to precluding violation or circumvention of the ABM Treaty. These consultations will be facilitated by the agreed detailed annual information exchange on TMD plans and programs.

The Presidents also agreed that there is considerable scope for cooperation in theater missile defense. They are prepared to explore integrated cooperative defense efforts, inter alia, in the provision of early warning support for TMD activities, technology cooperation in areas related to TMD, and expansion of the ongoing program of cooperation in TMD exercises.

In resolving the tasks facing them, the Parties will act in a spirit of cooperation, mutual openness, and commitment to the ABM Treaty.

FOR THE UNITED STATES OF AMERICA:
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FOR THE RUSSIAN FEDERATION:
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Helsinki

March 21, 1997

ANNEX III

March 21, 1997

JOINT STATEMENT ON PARAMETERS ON FUTURE REDUCTIONS IN NUCLEAR FORCES

Presidents Clinton and Yeltsin underscore that, with the end of the Cold War, major progress has been achieved with regard to strengthening strategic stability and nuclear security. Both the United States and Russia are significantly reducing their nuclear forces. Important steps have been taken to detarget strategic missiles. The START I Treaty has entered into force, and its implementation is ahead of schedule. Belarus, Kazakstan and Ukraine are nuclear-weapon free. The Nuclear Non-Proliferation Treaty was indefinitely extended on May 11, 1995 and the Comprehensive Nuclear Test Ban Treaty was signed by both the United States and Russia on September 24, 1996.

In another historic step to promote international peace and security, President Clinton and President Yeltsin hereby reaffirm their commitment to take further concrete steps to reduce the nuclear danger and strengthen strategic stability and nuclear security. The Presidents have reached an understanding on further reductions in and limitations on strategic offensive arms that will substantially reduce the roles and risks of nuclear weapons as we move forward into the next century. Recognizing the fundamental significance of the ABM Treaty for these objectives, the Presidents have, in a separate joint statement, given instructions on demarcation between ABM systems and theater missile defense systems, which will allow for deployment of effective theater missile defenses and prevent circumvention of the ABM Treaty.

With the foregoing in mind, President Clinton and President Yeltsin have reached the following understandings.

Once START II enters into force, the United States and Russia will immediately begin negotiations on a START III agreement, which will include, among other things, the following basic components:

Establishment, by December 31, 2007, of lower aggregate levels of 2,000-2,500 strategic nuclear warheads for each of the parties.

Measures relating to the transparency of strategic nuclear warhead inventories and the destruction of strategic nuclear warheads and any other jointly agreed technical and organizational measures, to promote the irreversibility of deep reductions including prevention of a rapid increase in the number of warheads.

Resolving issues related to the goal of making the current START treaties unlimited in duration.

Placement in a deactivated status of all strategic nuclear delivery vehicles which will be eliminated under START II by December 31, 2003, by removing their nuclear warheads or taking other jointly agreed steps. The United States is providing assistance through the Nunn-Lugar program to facilitate early deactivation.

The Presidents have reached an understanding that the deadline for the elimination of strategic nuclear delivery vehicles under the START II Treaty will be extended to December 31, 2007. The sides will agree on specific language to be submitted to the Duma and, following Duma approval of START II, to be submitted to the United States Senate.

In this context, the Presidents underscore the importance of prompt ratification of the START II Treaty by the State Duma of the Russian Federation.

The Presidents also agreed that in the context of START III negotiations their experts will explore, as separate issues, possible measures relating to nuclear long-range sea-launched cruise missiles and tactical nuclear systems, to include appropriate confidence-building and transparency measures.

Taking into account all the understandings outlined above, and recalling their statement of May 10, 1995, the Presidents agreed the sides will also consider the issues related to transparency in nuclear materials.

FOR THE UNITED STATES OF AMERICA:
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FOR THE RUSSIAN FEDERATION:
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